

05-25-01

A

UTILITY PATENT APPLICATION TRANSMITTAL

(only for new nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No. **1999U019D1.US**
 Inventors **David H. McConville and Richard R. Schrock**
 Title **Method of Polymerization**
 Express Mail Label No. **EL715559360US**

 1058 U.S. PTO
 05/24/01
 09/864756

APPLICATION ELEMENTS

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
 (Submit an original, and a duplicate for fee processing)
2. ☒ Specification Total Pages **-37-**
 (preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross References to Related Applications (if any)
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☐ Drawing(s) (35 U.S.C. 113) Total Sheets **-0-**
4. ☒ Oath or Declaration Total Pages **-4-**
- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 C.F.R. § 1.63(d))
 (for continuation/division with Box 15 completed)
 [Note Box 5 below]
- i. ☐ DELETION OF INVENTOR(S)
 Signed statement attached deleting
 inventor(s) named in the prior application,
 see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

ADDRESS TO:

Assistant Commissioner for Patent
 Box Patent Application
 Washington, D.C. 20231

5. ☐ Incorporation By Reference (useable if Box 4B is checked)
 The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney
 (when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☒ Information Disclosure Statement (IDS)/PTO-1449
☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
 (Should be specifically itemized)
13. ☐ Certified Copy of Priority Document(s)
 (if foreign priority is claimed)
14. ☐ Other: _____

15. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment.

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP)

of prior applications No: 09/312,878, from which priority is claimed under 35 U.S.C. § 120

Prior application information: Examiner Ling-Siu Chai Choi Group Art Unit 1713

16. If a NONPROVISIONAL APPLICATION based upon a foreign or U.S. provisional application, priority is claimed from applications

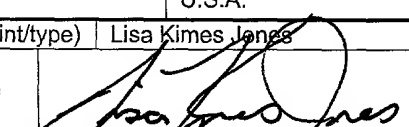
No. _____, country _____, filed on _____.

17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

or ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

| | | | | | | | |
|-------------------|---|-------|-----------|-----------------------------------|--------------|-----|----------------|
| Name | Univation Technologies L.L.C. | | | | | | |
| Address | 5555 San Felipe, Suite 1950 | | | | | | |
| City | Houston | State | Texas | Zip Code | 77056 | | |
| Country | U.S.A. | | Telephone | (713) 892-3729 | | Fax | (713) 892-3687 |
| Name (print/type) | Lisa Kimes Jones | | | Registration No. (Attorney/Agent) | 41,878 | | |
| Signature |  | | | Date | May 24, 2001 | | |

FEE TRANSMITTAL

Note: Effective November 10, 1998.
Patent fees are subject to annual revision.

Complete if Known

| | |
|------------------------|--|
| Application Number | New Application |
| Filing Date | May 24, 1999 |
| Inventors | David H. McConville and Richard R. Schrock |
| Group Art Unit | Unassigned |
| Examiner Name | Unassigned |
| Attorney Docket Number | 1999U019D1.US |

Total Amount of Payment (\$)**842.00**

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payment to:

Deposit Account Number:

50-0589

Deposit Account Name:

Univation Technologies, LLC

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

FEE CALCULATION

1. FILING FEE

| Large Entity Fee Code | Fee Description | Fee Paid |
|-----------------------|------------------------|----------|
| 101 710 | Utility filing fee | \$710.00 |
| 106 320 | Design filing fee | |
| 107 490 | Plant filing fee | |
| 108 710 | Reissue filing fee | |
| 114 150 | Provisional filing fee | |
| SUBTOTAL (1) | | \$710.00 |

2. CLAIMS

| | Extra | Fee from below | Fee Paid |
|-------------------------------------|-------|----------------|----------|
| Total Claims 23 - 20 = 3 x 18 = | 3 | 18 | \$54.00 |
| Independent Claims 4 - 3 = 1 x 78 = | 1 | 78 | \$78.00 |
| Multiple Dependent Claims 0 x 260 = | 0 | 260 | \$0.00 |

Large Entity

| Fee Code | Fee\$ | Fee Description |
|--------------|-------|---|
| 103 18 | | Claims in excess of 20 |
| 102 80 | | Independent claims in excess of 3 |
| 104 270 | | Multiple dependent claim |
| 109 80 | | Reissue independent claims over original patent |
| 110 18 | | Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) | | \$132.00 |

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Fee Code | Large Entity Fee \$ | Fee Description |
|------------|---------------------|--|
| 105 130 | | Surcharge - late filing fee or oath |
| 127 50 | | Surcharge - late provisional filing or cover sheet. |
| 139 130 | | Non-English specification |
| 147 2,520 | | For filing a request for reexamination |
| 112 920* | | Requesting publication of SIR prior to Examiner action |
| 113 1,840* | | Requesting publication of SIR after Examiner action |
| 115 110 | | Extension for reply within first month |
| 116 390 | | Extension for reply within second month |
| 117 890 | | Extension for reply within third month |
| 118 1,390 | | Extension for reply within fourth month |
| 128 1,890 | | Extension for reply within fifth month |
| 119 310 | | Notice of Appeal |
| 120 310 | | Filing a brief in support of an appeal |
| 121 270 | | Request for oral hearing |
| 138 1,510 | | Petition to institute a public use proceeding |
| 140 110 | | Petition to revive - unavoidable |
| 141 1,240 | | Petition to review - unintentional |
| 142 1,240 | | Utility issue fee (or reissue) |
| 143 440 | | Design issue fee |
| 144 600 | | Plant issue fee |
| 122 50 | | Petition to the Commissioner |
| 123 50 | | Petitions related to provisional applications |
| 126 180 | | Submission of information Disclosure Stmt. |
| 581 40 | | Recording each patent assignment per property (times number of properties) |
| 146 710 | | Filing a submission after final rejection (37 CFR 1.129(a)) |
| 149 710 | | For each additional invention to be examiner (37 CFR 1.129(b)) |

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

FEES PAID

Typed or Printed Name Lisa Kimes Jones

Reg. No. 41,878

Signature

Date May 24, 2001

Deposit Account User ID